



Attorney Docket No.: SC-TP1300-3

RECEIVED  
TECH CENTER 1600/2000  
JAN 10 2003  
PATENT  
#6  
DED  
1/15/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Harper et al. Art Unit: 1645  
Application No.: 09/938,842 Examiner: Unassigned  
Filed: August 24, 2001  
Title: STRESS-REGULATED GENES OF PLANTS, TRANSGENIC PLANTS  
CONTAINING SAME, AND METHODS OF USE

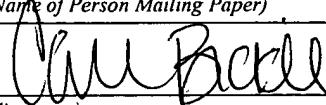
Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. 1.97, enclosed are references relating to the above-identified application. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and a copy of each is enclosed herewith. A copy of the International Search Report listing the references cited from a communication from a foreign patent office also is enclosed.

It is respectfully requested that these references be considered in the examination of this application and their consideration be made of written record in the application file.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <b>January 7, 2003</b> , in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.	
Carrie E. Bickle (Name of Person Mailing Paper)	
 January 7, 2003 (Signature) (Date)	

In re Application of:  
Harper et al.  
Application No.: 09/938,842  
Filed: August 24, 2001  
Page 2

PATENT  
Attorney Docket No.: SCRIP1300-3

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any fee is required, authorization is hereby given to charge Deposit Account No. 50-1355.

Respectfully submitted,

Date: January 7, 2003

  
Richard J. Imbra  
Registration No. 37,643  
Telephone: (858) 677-1496  
Facsimile: (858) 677-1465

**USPTO CUSTOMER NUMBER 28213**  
GRAY CARY WARE & FREIDENRICH LLP  
4365 Executive Drive, Suite 1100  
San Diego, CA 92121-2133

<b>FORM PTO-1440</b> U.S. Department of Commerce Patent and Trademark Office JAN 1 0 2003	Docket No.	Serial No.:
	SCRIP1300-3	09/938,842
Applicants: Harper et al.		
<b>INFORMATION DISCLOSURE STATEMENT</b> BY APPLICANT Page 1 of 1	Filing Date:	Group Art Unit:
	August 24, 2001	1645

RECEIVED  
JAN 13 2003  
TECH CENTER 1600/2900

### U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE

### FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)
	AA	1 033 405 A2	09/06/2000	EP			
	AB	WO 00/08187	02/17/2000	PCT			

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

	AC	Seki et al., "Monitoring the Expression Pattern of 1300 <i>Arabidopsis</i> Genes under Drought and Cold Stresses by Using a Full-Length cDNA Microarray," <i>The Plant Cell</i> , Vol. 13, January 2001, pp. 61-72.
	AD	Schenk et al., "Coordinated plant defense responses in <i>Arabidopsis</i> revealed by microarray analysis," <i>PNAS</i> , Vol. 97, No. 21, October 10, 2000, pp. 11655-11660.
	AE	Reymond et al., "Differential Gene Expression in Response to Mechanical Wounding and Insect Feeding in <i>Arabidopsis</i> ," <i>The Plant Cell</i> , Vol. 12, May 2000, pp. 707-719
	AF	Nuccio et al., "Metabolic engineering of plants for osmotic stress resistance," <i>Plant Biotechnology</i> , April 1999, pp. 128-134
	AG	Ruan et al., "Towards <i>Arabidopsis</i> genome analysis: monitoring expression profiles of 1400 genes using cDNA microarrays," <i>The Plant Journal</i> , Vol. 15, (1998), pp. 821-833
	AH	Schena et al., "Quantitative Monitoring of Gene Expression Patterns with a Complementary DNA Microarray," <i>Science</i> , Vol. 270, October 20, 1995, pp. 467-470

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

Gray Cary\GT\6328831.1  
740166-43